

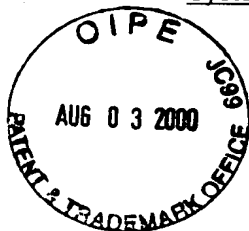
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COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled Compounds, Compositions and Methods for Preventing Neurodegeneration in Acute and Chronic Injuries in the Central Nervous System the specification of which:



_____ is attached hereto.
X was filed on April 20, 2000
as United States Application Number _____
or PCT International Application Number _____
and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above. I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			<u>Priority Claimed</u>	
<u>(Number)</u>	<u>(Country)</u>	<u>(Day/Month/Year Filed)</u>	<u>Yes</u>	<u>No</u>
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below

<u>(Application Number)</u>	<u>Filing Date</u>
_____	_____

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I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Number) Filing Date (Status – patented, pending, abandoned)

(Application Number) Filing Date (Status – patented, pending, abandoned)

(Application Number) Filing Date (Status – patented, pending, abandoned)

I hereby appoint Toni-Junell Herbert, Registration No. 34,348, Mark R. Shanks, Registration No. 33,781, Simon D. Roberts, Certificate of Limited Recognition, David W. Woodward, Registration No. 35,020, Keith D. Hutchinson, Registration No. 43,687, Maria L. Maebius, Registration No. 42,967, Mark J. Pino, Registration No. 43,858, Deanna L. Baxam, Registration No. 45,266, Joseph G. Contrera, Registration No. 44,628, Chalin A. Smith, Registration No. 41,569, Shelly Guest Cermak, Registration No. 39,571, Patrick Stanzione, Registration No. 40,434, Suzannah K. Sundby, Registration No. 43,172, of SHANKS & HERBERT, telephone (703) 683-3600, with a mailing address at:

TransPotomac Plaza
1033 N. Fairfax St., Suite 306
Alexandria, VA 22314

with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

The undersigned hereby authorizes the U.S. Attorneys named herein to accept and follow instructions from undersigned's assignee, if any, and/or, if the undersigned is not a resident of the United States, the undersigned's domestic attorney, patent attorney or patent agent, as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys and the undersigned. In the event of a change in the person(s) from whom instructions may be taken, the U.S. attorneys named herein will be so notified by the undersigned.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application of any patent issued thereon.

Full Name of Sole/First Inventor: GWAG, Byoung Joo

Inventor's Signature: 

Date: May 4

Residence: Seocho-ku, Seoul
(City, State)

Citizenship: Republic of Korea
(Country)

Post Office Address: No. 7-703, Kyungnam Apartment 1028-1, Banggae-3-dong, Seocho-ku

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Seoul 137-063, Republic of Korea

Full Name of Second/Joint Inventor: LEE, Young Ae

Inventor's Signature: *Lee* Date: May. 4

Residence: Suwon-si, Kyunggi-do Citizenship: Republic of Korea
(City, State) (Country)

Post Office Address: 77-77, Wonchun-dong, Paldal-ku, Suwon-si, Kyunggi-do 442-380
Republic of Korea

Full Name of Third/Joint Inventor: RYU, Bo Rum

Inventor's Signature: *Bo Rum* Date: May, 4

Residence: Suwon-si, Kyunggi-do Citizenship: Republic of Korea
(City, State) (Country)

Post Office Address: 101-54, Maetan-1-dong, Paldal-ku, Suwon-si, Kyunggi-do 442-371
Republic of Korea

Full Name of Fourth/Joint Inventor: YOON, Sung Hwa

Inventor's Signature: *Sung Hwa Yoon* Date: May. 4, 2000

Residence: Suwon-si, Kyunggi-do Citizenship: Republic of Korea
(City, State) (Country)

Post Office Address: No. 321-602, Samik Apartment 957-6, Youngtong-dong, Paldal-ku
Suwon-si, Kyunggi-do 442-470, Republic of Korea

Full Name of Fifth/Joint Inventor: MOON, Ho Sang *Hs. Moon*

Inventor's Signature: *Hs. Moon* Date: 2000. 05. 04

Residence: Suwon-si, Kyunggi-do Citizenship: Republic of Korea
(City, State) (Country)

Post Office Address: No. 102-1207, Sunkyung Apartment 105, Wooman-dong, Paldal-ku,
Suwon-si, Kyunggi-do 442-190, Republic of Korea

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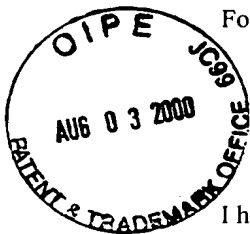
Applicant or Patentee: GWAG, et al.

Appl. or Patent No.: _____

Docket No.: 0217-0002

Filed or Issued: April 21, 2000

For: Method for Intervening Neuronal Death Using Sulfasalazine



VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 C.F.R. §§ 1.9(f) and 1.27(c)) – SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: Neurotech Co., Ltd.

ADDRESS OF CONCERN: 106, Industrial Academy, Ajou University, 29-9 Wonchun-dong, Paldal-ku Suwon-si, Kyunggi-do 442-380, Republic of Korea

I hereby declare that the above identified small business concern qualified as a small business concern as defined in 13 C.F.R. § 121.3-18, and reproduced in 37 C.F.R. § 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including that of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year; and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled: Compounds, Compositions and Methods for Preventing Neurodegeneration in Acute and Chronic Injuries in the Central Nervous System

By inventor(s) Byoung Joo GWAG, Young Ae LEE, and Bo Rum RYU described in

- ☐ the specification filed herewith
☒ application no. _____, filed April 21, 2000
☐ patent no. _____, issued _____

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 C.F.R. § 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 C.F.R. § 1.9(d) or a nonprofit organization under 37 C.F.R. § 1.9(e).

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, or by any concern which would not qualify as a small business concern under 37 C.F.R. § 1.9(d) or a nonprofit organization under 37 C.F.R. 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 C.F.R. § 1.27).

#3

NAME _____
ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGNIZATION

NAME _____
ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGNIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 C.F.R. § 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Byoung-Seon KWAK

TITLE OF PERSON OTHER THAN OWNER: President

ADDRESS OF PERSON SIGNING: 106, Industrial Academy, Ajou University, 29-9 Wonchun-dong, Paldal-ku, Suwon-si, Kyunggi-do 442-380, Republic of Korea

SIGNATURE Byoung S. Kwak \ DATE 5-4-2000